

# Direct Credit/Debit Request

Please tick the relevant box

One Off Banking Request       New or Revised Permanent Instructions

## CONDITIONS:

- The designated bank account must be in the same name/s as the Bell Potter account you are opening Third Party accounts cannot be accepted.
- If a joint bank account is nominated, both parties must sign.
- This form is not suitable to authorise us to debit your linked cash management account.

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Please complete these sections if you wish to authorise Bell Potter (Direct Debit User ID: 153461) to direct credit/debit funds from/to your designated bank account.

## BELL POTTER SECURITIES - CLIENT DETAILS

Request and Authority to credit/debit the account named below to pay Bell Potter Securities Limited for equity/option trades.

**BELL POTTER Account Name**  ("you")

**BELL POTTER EQUITY Account Number**  **ACN/ABN**

**BELL POTTER OPTION Account Number**

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## DIRECT CREDIT / DEBIT

Please complete if you wish to authorise Bell Potter to credit/debit your designated bank account.

**Bank Name**  / **Branch**

**Account Name**

**Bank BSB Number**  -

**Bank Account Number**

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## AUTHORITY TO CREDIT / DEBIT

request and authorise Bell Potter Securities Limited *Debit User Identification Number 153461* to arrange, through its own financial institution, for any amount Bell Potter Securities Limited may credit/debit or charge you to be debited through the Bulk Electronic Clearing System from an account held at the financial institution identified above and paid to the Debit User, subject to the terms and conditions of the Direct Debit Request Service Agreement (and any further instructions provided below).

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## PAYMENT DETAILS

The maximum amount to be debited at any one time is:

\$  -

Amount in words \_\_\_\_\_

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## ACKNOWLEDGEMENT

By signing this Direct Credit/Debit Request, you acknowledge having received, read and understood the terms and conditions governing the debit arrangements between you and Bell Potter Securities Limited as set out in this Request and in your Direct Debit Request Service Agreement.

**Signature**

**Name**

**Signature**

**Name**

(If signing for a company, sign and print full name and capacity for signing e.g. Director)

# Direct Credit/Debit Request Service Agreement

## DEFINITIONS

- **Account** means the account held at your financial institution from which we are authorised to arrange for funds to be debited.
- **Agreement** means this Direct Debit Request Service Agreement between you and us.
- **Banking day** means a day other than a Saturday or a Sunday or a public holiday listed throughout Australia.
- **Debit day** means the day that payment by you to us is due.
- **Debit payment** means a particular transaction where a debit is made.
- **Direct debit request** means the Direct Debit Request between us and you (and includes any Form PD-C approved for use in the transitional period).
- **Us or we** means Bell Potter Securities Limited, (the Debit User) you have authorised by signed a Direct Debit Request.
- **You** means the customer who signed the Direct Debit Request.
- **Your financial institution** is the financial institution where you hold the account that you have authorised us to arrange to debit.

## 1 DEBITING YOUR ACCOUNT

- 1.1 By signing a Direct Debit Request, you have authorised us to arrange for funds to be debited from your account. You should refer to the Direct Debit Request and this agreement for the terms of the arrangement between us and you.
- 1.2 We will only arrange for funds to be debited from your account as authorised in the Direct Debit Request.
- 1.3 If the debit day falls on a day that is not a banking day, we may direct your financial institution to debit your account on the following banking day.  
If you are unsure about which day your account has or will be debited, you should ask your financial institution.

## 2 CHANGES BY US

- 2.1 We may vary any details of this agreement or a Direct Debit Request at any time by giving you at least fourteen (14) days written notice.

## 3 CHANGES BY YOU

- 3.1 Subject to 3.2 and 3.3, you may change the arrangements under a Direct Debit Request by contacting the Settlements Supervisor on 03 9235 1614
- 3.2 If you wish to stop or defer a debit payment, you must notify us in writing at least five (5) days before the next debit day. This notice should be given to us in the first instance.

- 3.3 You may also cancel your authority for us to debit your account at any time by giving us five (5) days notice in writing before the next debit day. This notice should be given to us in the first instance.

## 4 YOUR OBLIGATIONS

- 4.1 It is your responsibility to ensure that there are sufficient clear funds available in your account to allow a debit payment to be made in accordance with the Direct Debit Request.
- 4.2 If there are insufficient clear funds in your account to meet a debit payment:
  - (a) you may be charged a fee and/or interest by your financial institution;
  - (b) you may also incur fees or charges imposed or incurred by us; and
  - (c) you must arrange for the debit payment to be made by another method or arrange for sufficient clear funds to be in your account by an agreed time so that we can process the debit payment.
- 4.3 You should check your account statement to verify that the amounts debited from your account are correct.
- 4.4 If Bell Potter Securities Limited is liable to pay goods and services tax ("GST") on a supply made in connection with this agreement, then you agree to pay Bell Potter Securities Limited on demand an amount equal to the consideration payable for the supply multiplied by the prevailing GST rate.

## 5 DISPUTE

- 5.1 If you believe that there has been an error in debiting your account, you should notify us directly on 03 9235 1614 (Settlements Supervisor) and confirm that notice in writing with us as soon as possible so that we can resolve your query more quickly.
- 5.2 If we conclude as a result of our investigations that your account has been incorrectly debited, we will respond to your query by arranging for your financial institution to adjust your account (including interest and charges) accordingly. We will also notify you in writing of the amount by which your account has been adjusted.
- 5.3 If we conclude as a result of our investigations that your account has not been incorrectly debited, we will respond to your query by providing you with reasons and any evidence for this finding.
- 5.4 Any queries you may have about an error made in debiting your account

should be directed to us in the first instance so that we can attempt to resolve the matter between us and you. If we cannot resolve the matter, you can still refer it to your financial institution, which will obtain details from you of the disputed transaction and may lodge a claim on your behalf.

## 6 ACCOUNTS

- 6.1 You should check:
  - (a) with your financial institution whether direct debiting is available from your account, as direct debiting is not available on all accounts offered by financial institutions.
  - (b) your account details which you have provided to us are correct by checking them against a recent account statement; and
  - (c) with your financial institution before completing the Direct Debit Request if you have any queries about how to complete the Direct Debit Request.

## 7 CONFIDENTIALITY

- 7.1 We will keep any information (including your account details) in your Direct Debit Request confidential. We will make reasonable efforts to keep any such information that we have about you secure and to ensure that any of our employees or agents who have access to information about you do not make any unauthorised use, modification, reproduction or disclosure of that information.
- 7.2 We will only disclose information we have about you:
  - (a) to the extent specifically required by law; or
  - (b) for the purposes of this agreement (including disclosing information in connection with any query or claim).

## 8 NOTICE

- 8.1 If you wish to notify us in writing about anything relating to this agreement, you should write to:  
Attention  
**Bell Potter Securities Limited**  
**Settlements Supervisor**  
**GPO Box 4718**  
**Melbourne VIC 3001**
- 8.2 We will notify you by sending a notice in the ordinary post to the address you have given us in the Direct Debit Request.

Any notice will be deemed to have been received on the third banking day after posting.